

COLLABORATIVE RESEARCH ALLIANCES (CRAs) BUSINESS BRIEFING

TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED

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Two **DRAFT Program Announcements (PAs)** have been issued for new **Collaborative Research Alliances** (**CRAs**) as follows:

- •W911NF-11-R-0001 CRA for Materials in Extreme Dynamic Environments (MEDE)
- •W911NF-11-R-0002 CRA for Multiscale Multidisciplinary Modeling of Electronic Materials (MSME)

Nothing said today <u>changes</u> the requirements of the DRAFT PAs; however, comments and questions are welcome!

The **FINAL PAs** are expected to be issued in **January 2011**.





Both DRAFT PAs contain the following items:

- Overview Information including Executive Summary
- Detailed Information about the Funding Opportunity
- Award Eligibility Information
- Application and Submission Information
- Agency Contacts

NOTE: The <u>Due Date</u> for proposals and <u>Application</u>

<u>Review Information</u> (to include evaluation criteria)

will be added in the FINAL PA. All proposals must





DATES TO REMEMBER

- Open House (MSME CRA) 9 December 2010
- Open House (MEDE CRA) 16 December 2010
- Final PAs Issued January 2011
- Proposals Due March 2011
- Evaluation and Negotiations April-August 2011
- Final Proposals Due August 2011
- Award February 2012





COLLABORATION: A COMMON THEME

- *Both the MEDE CKA and the MSME CKA WIII result in the award of a **Cooperative Agreement.**(10 U.S.C. 6305)
- <u>Under a Cooperative Agreement, there is</u> <u>substantial Government involvement as the</u> <u>Government is working jointly and</u> <u>collaboratively with organizations on</u> <u>research efforts.</u>
- This is <u>significantly different</u> than performing under a <u>procurement contract</u> or a <u>grant</u>.





COLLABORATION: A COMMON THEME

- that delineates the effort to be performed under the Agreement) identifies how the Government will work <u>collaboratively</u> under the Agreement
- Terms and Conditions of the Cooperative Agreement are crafted to facilitate the <u>exchange of</u> <u>research personnel</u>
- Terms and Conditions provide for and protect the interests of both parties concerning <u>publications</u>, <u>inventions and intellectual property rights</u>





HOW IS THIS DIFFERENT THAN PERFORMING UNDER A CONTRACT?

• Traditional Approach: Award of a Procurement Contract (10 U.S.C. 6303)

*Difference: A procurement contract involves an "arms length" relationship with effort being strictly governed by the scope of work of the contract. A cooperative agreement allows for Government and Non-Government researchers to work closely together ("rub shoulders") in a legal mechanism. The program plan is more "fluid" than a scope of work under a contract, allowing both parties to work together toward the research goals of the Agreement in lieu of requiring strict adherence to a scope of work performed independently by a contractor.



HOW IS THIS DIFFERENT THAN PERFORMING UNDER A GRANT?

- Traditional Approach: Award of a Grant (10 U.S.C. 6304)
- <u>Difference:</u> Under a grant, the grantee performs the research program independently and there can be <u>no substantial Government involvement</u>. Again, a cooperative agreement allows for Government and Non-Government researchers <u>to work closely together ("rubshoulders")</u> in a legal mechanism.





PERFORMANCE BY "COVERED EDUCATIONAL INSTITUTIONS"

- •Both PAs require "<u>covered educational institutions</u>" (10 U.S.C 2362) receive <u>5-10%</u> of the annual funding under the Cooperative Agreement
- "Covered educational institutions" include Historically Black Colleges and Universities and Minority-Serving Institutions (HBCU/MSIs)
- •Both PAs provide offerors the <u>flexibility</u> to identify and include a covered educational institution <u>in their proposal</u> or <u>work collaboratively with the Government after award</u>
- to identify and include a covered educational institution





COOPERATIVE AGREEMENT AWARD MEDE CRA (W911NF-11-R-0001)

- Award will be made to a Consortium of Institutions
- •The Consortium will be <u>self-formed</u> and may include academic, industrial or non-profit organizations
- Must be lead by an <u>academic institution; called the</u>
 <u>"Lead Research Organization"</u>
- <u>"Covered educations institutions"</u> must receive <u>5-10%</u> of the annual funding
- •10% of the annual funding is to be devoted to <u>novel and</u> <u>innovative research projects</u> (which are expected to come from subawardees)





COOPERATIVE AGREEMENT AWARD MEDE CRA (W911NF-11-R-0001)

- •All Members of the Consortium are <u>signatories</u> to the Agreement
- •All Members of the Consortium are **Joint and Severably <u>liable</u>** for performance under the agreement
- All Members of the Consortium <u>participate</u> and "vote" on the <u>Management</u> of the Consortium
- All Members are bound by <u>Articles of Collaboration (AOC)</u>
 that govern how Members work together







COOPERATIVE AGREEMENT AWARD MEDE CRA (W911NF-11-R-0001)

- •A <u>Sample AOC</u> is provided on the CRE MEDE website for offerors to use in preparing their proposals
- •The AOC must cover the **sharing of intellectual property** with Members of the Consortium
- •The AOC must describe how Members are <u>added and</u> <u>removed</u> from the Consortium
- The Government will <u>evaluate</u> the proposed AOC via a Management evaluations factor.
- The AOC will be incorporated as an <u>attachment</u> to the Cooperative Agreement with the Government.





COOPERATIVE AGREEMENT AWARD MSME CRA (W911NF-11-R-0002)

- Award will be made to a <u>single Recipient Institution</u>
- Must be an <u>academic institution</u>
- May include the participation of <u>two key subawardees</u>
- <u>"Covered educations institutions"</u> must receive <u>5-10%</u> of the annual funding
- •<u>5%</u> of the annual funding is to be devoted to <u>novel and</u> <u>innovative research projects</u> (which are expected to come from subawardees)





The Websites for both PAs are www.arl.army.mil/CRAMEDE and www.arl.army.mil/CRAMSME. The Websites contain important information as follows:

- Copies of DRAFT PAs and Other Documents (e.g. Model Cooperative Agreement and Articles of Collaboration)
- Presentations from the Opportunity Conference
- Information/Presentations from both Open Houses
- •Final P∆s
- Questions and Answers
- Interested Vendor Listing

PLEASE BOOKMARK AND CHECK THESE WEBSITES REGULARLY FOR UPDATES!